

**CalCAP  
Use  
Only**

CalCAP  
Loan #  
Date  
Received

## CalCAP AIR RESOURCES BOARD (ARB) PROGRAMS LOAN ENROLLMENT APPLICATION

### Lender Information

Participating Lender \_\_\_\_\_ Lender ID# \_\_\_\_\_  
Loan Officer Name \_\_\_\_\_ Phone \_\_\_\_\_  
Loan Officer Email \_\_\_\_\_

### Borrower Information

Name \_\_\_\_\_ DBA \_\_\_\_\_  
Address \_\_\_\_\_ City \_\_\_\_\_ County \_\_\_\_\_ Zip \_\_\_\_\_  
Type of Business/Activities \_\_\_\_\_  
Average Annual Revenue Last 3 Years \$ \_\_\_\_\_  
Number of Employees \_\_\_\_\_ Jobs created \_\_\_\_\_ Jobs retained \_\_\_\_\_  
Will loan monies be used at above address? ☐ Yes ☐ No If no, location where loan will be used:  
Address \_\_\_\_\_ City \_\_\_\_\_ County \_\_\_\_\_ Zip \_\_\_\_\_  
NAICS Code \_\_\_\_\_ <http://www.census.gov/eos/www/naics/> Census Tract # \_\_\_\_\_ <http://www.ffiec.gov/Geocode/default.aspx>  
Purpose of Loan \_\_\_\_\_  
Is business minority owned? ☐ Yes ☐ No ☐ Decline to Answer  
Is business woman owned? ☐ Yes ☐ No ☐ Decline to Answer  
Is business veteran owned? ☐ Yes ☐ No ☐ Decline to Answer

### Loan Information

Lender Loan Number \_\_\_\_\_ Type of Loan: ☐ Line of Credit ☐ Term Loan ☐ TRAC Lease  
Total Loan Amount \$ \_\_\_\_\_ Loan Amount Enrolled in CalCAP \$ \_\_\_\_\_  
Date of First Disbursement (Date of Loan) \_\_\_\_\_ Maturity Date \_\_\_\_\_  
Interest Rate \_\_\_\_\_ % APR ☐ Fixed ☐ Variable Is the loan secured? ☐ Yes ☐ No  
Name of ARB Program ☐ On-Road ☐ Off-Road ☐ Prop 1B

Borrower Name

Lender Loan Number

**LENDER CERTIFICATION**

**Enrolling a loan in the CalCAP ARB program requires the Participating Financial Institution to certify to each of the following program rules and regulations.**

\* All capitalized terms are defined in 4 CCR §8070 of the California Code of Regulations.

- 1) The loan is a Qualified Loan as defined in 4 CCR §8070(s) of the California Code of Regulations.
- 2) The business receiving the Qualified Loan is a Qualified Business, as defined in 4 CCR §8070(r) or 4 CCR §8078.2(c) of the California Code of Regulations.
- 3) The Qualified Loan is for a business activity that has its Primary Economic Effect in California as defined in 4 CCR §8070(o) of the California Code of Regulations.
- 4) The Participating Financial Institution will provide information from financial records of the Borrower upon request of the Executive Director of the CPCFA, and the Participating Financial Institution has obtained the consent of the Borrower to such disclosure.
- 5) The Participating Financial Institution has obtained a written representation from the Borrower that the Borrower has no legal, beneficial or equitable, interest in the Fees or the Matching Contribution.
- 6) The enrolled amount of the loan does not exceed \$1,500,000 for the Air Resources Board On-Road Heavy Duty Diesel Vehicle Program
- 7) The Participating Financial Institution has obtained a written representation from the Borrower that it has secured or made application for all applicable licenses or permits needed to conduct its business.
- 8) The Participating Financial Institution has not, and will not, enroll the same loan or portion thereof in any other government program substantially similar to the Program.
- 9) The Borrower has received CPCFA's CalCAP/ARB Privacy Notice.
- 10) The Participating Financial Institution acknowledges that its lending activities are subject to safety and soundness standards as set forth in any applicable federal banking regulations.

**By signing below and enrolling this loan the Participating Financial Institution certifies, based in part on information provided by the Borrower, that each of the above assurances is true and accurate.**

Authorized Lender Signature

Title

Date

California Pollution Control Financing Authority Use Only			
CalCAP Loan Number	Analyst's Initials	Date	Reviewer's Initials
_____ FUND _____ %		_____ FUND _____ %	
Contribution Amount \$ _____		Contribution Amount \$ _____	
Authorized Signature			Date: